



PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of )

Walton et al )

Serial No. 10/781,951 )

Filed: February 18, 2004 )

For: TRANSMIT DIVERSITY AND  
SPATIAL SPREADING FOR AN  
OFDM-BASED MULTI-ANTENNA  
COMMUNICATION SYSTEM

) Examiner:

) Group No. 2661

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Applicants submit herewith, in accordance with 37 CFR § 1.98, the patents, publications and other information listed on the enclosed Form PTO-1449.

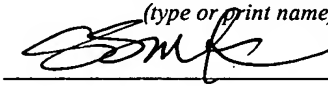
## CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8(a))

I hereby certify that this correspondence is, on the date shown below, being:

## MAILING

- ☒ deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: January 12, 2006

Depositor's Name: Susie Simsik  
(type or print name)Signature: 

## FACSIMILE

- ☐ transmitted by facsimile to the Patent and Trademark Office.

Date: \_\_\_\_\_

Depositor's Name \_\_\_\_\_  
(type or print name)

Signature: \_\_\_\_\_

The references submitted herewith are those of which Applicants are aware, which they believe may be material to the examination of this application and, with respect to which there may be a duty to disclose in accordance with 37 CFR § 1.56. While the references identified may be material to the examination of this application pursuant to 37 CFR § 1.56, the citation of these references is not intended to constitute an admission that any reference referred to herein is prior art to the invention of this application, unless specifically designated as such. Additionally, the filing of this Information Disclosure Statement shall not be construed to mean that any search has been made or, that if made, such search was complete or exhaustive, or that no other material information as defined in 37 CFR § 1.56 exists.


In accordance with 37 CFR § 1.97(b)(3), this Information Disclosure Statement is being submitted before mailing of the first Office Action on the merits. Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application.

A list of the references is set forth on Form PTO-1449, which is enclosed herewith, along with a copy of each cited foreign reference (copies of U.S. references are not enclosed). Applicants respectfully request that the Examiner return to Applicants a copy of the Form PTO-1449 indicating consideration of the references cited thereon.

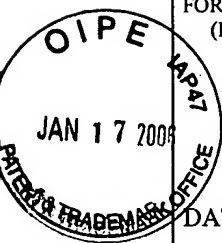
Applicants do not believe that any fees are due. If, however, it is determined that fees are owed, Applicants hereby authorize that such fees be charged to Deposit Account No. 17-0026.

Respectfully submitted,

Dated: January 11, 2006

By:   
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FORM PTO-1449 (REV. 7-80)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 040235	SERIAL NO. 1110/781,951
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use several sheets if necessary)</i>		APPLICANT Walton et al	
		FILING DATE February 18, 2004	GROUP Z 6 61
DATE MAILED: January 12, 2006			

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	A1						
	A2						
	A3						

*EXAMINER INITIAL		PUBLICATION NUMBER	PUB. DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	B1	2004/0002364 A1	1/1/04	Trikkonen et al	455	562.1	
	B2	2003/0128658 A1	7/10/03	Walton et al	370	208	
	B3						

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	Ref No	DOCUMENT NUMBER	DATE	COUNTRY	NAME	CLASS	SUB CLASS
	C1						
	C2						
	C3						
	C4						
	C5						

## OTHER PRIOR ART (Including Author, Title, Date, Pertinent Page, Etc.)

	D1		Laroia et al, "ENHANCED OPPORTUNISTIC BEAMFORMING", 2003, PGS 1762-1766
	D2		
	D3		
	D4		
EXAMINER		DATE CONSIDERED	
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			